

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

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Possibilities of Flood
Forecasting
in Small Headwater
Catchments: Case Study
Czech Republic

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Jirka Pavlásek, Vojtěch
Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Outline

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q–P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un–gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Possibilities of Flood
Forecasting
in Small Headwater
Catchments: Case Study
Czech Republic

Petr Máca, Jana Ředinová,
Jirka Pavlásek, Vojtěch
Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q–P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un–gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Small Headwater Catchments

Possibilities of Flood
Forecasting
in Small Headwater
Catchments: Case Study
Czech Republic

Petr Máca, Jana Ředinová,
Jirka Pavlásek, Vojtěch
Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory

Objectives

Data Based Runoff Forecast

Q-P Linear Model

PONS - Nonlinear Model

Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast

PONS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency

Ensemble Forecast

Conclusions

Small Headwater Basins

- Area $< 500 \text{ km}^2$
- Fast runoff response LAG TIME \rightarrow several hours
- There are basins, where it is impossible to perform runoff forecast.

Introduction

Headwater Basins, Flood Memory

Objectives

Data Based Runoff Forecast

Q-P Linear Model

PONS - Nonlinear Model

Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast

PONS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency

Ensemble Forecast

Conclusions

Small Headwater Catchments

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

Small Headwater Basins

- Area $< 500 \text{ km}^2$
- Fast runoff response LAG TIME \rightarrow several hours
- There are basins, where it is impossible to perform runoff forecast.

Flood Events and LWS

- Prevailing Flood Events \rightarrow caused by extreme rainfalls
- Both **Thunderstorms** and **Frontal Rainfalls**
- **The Challenge** \rightarrow improving and developing flood runoff forecast and incorporating it into the **Local Warning Systems**.

Introduction

Headwater Basins, Flood Memory

Objectives

Data Based Runoff Forecast

Q-P Linear Model

PONS - Nonlinear Model

Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast

PONS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency

Ensemble Forecast

Conclusions

Flood Memory – Flood Event 1925

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech



Figure: Flash Floods (Basta, 2011)

Extreme Precipitation → 78 [mm/hour], 132 [mm/3 hours]

Introduction

Headwater Basins, Flood Memory

Objectives

Data Based Runoff Forecast

Q-P Linear Model

PONS - Nonlinear Model

Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast

PONS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency

Ensemble Forecast

Conclusions

Flood Memory – Flood Event 2009

Possibilities of Flood
Forecasting
in Small Headwater
Catchments: Case Study
Czech Republic

Petr Máca, Jana Ředinová,
Jirka Pavlásek, Vojtěch
Havlíček, Pavel Pech

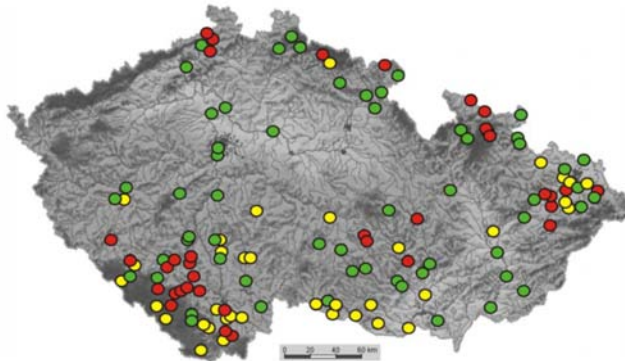


Figure: Warnings Issued by the CHMI (ME CR, 2010)

Red dots → HIGH EXTREME

Introduction

Headwater Basins, Flood Memory

Objectives

Data Based Runoff Forecast

Q-P Linear Model

PONS - Nonlinear Model

Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast

PONS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency

Ensemble Forecast

Conclusions

Aims

- 1 The analysis of local flood events in small catchments.
- 2 The preparation of Flood Event Set.
- 3 The development of SIMPLE forecasting tool used in LWS.
- 4 The test of flood forecast in different conditions

Flood forecast:

- Flood runoff forecast with different lead times.
- Flood runoff forecast in un-gauged catchments.
- The uncertainty assessment and ensemble forecast.

Introduction

Headwater Basins, Flood Memory

Objectives

Data Based Runoff Forecast

Q-P Linear Model

PONS - Nonlinear Model

Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast

PONS Model

Forecast in Un-gauged Basin

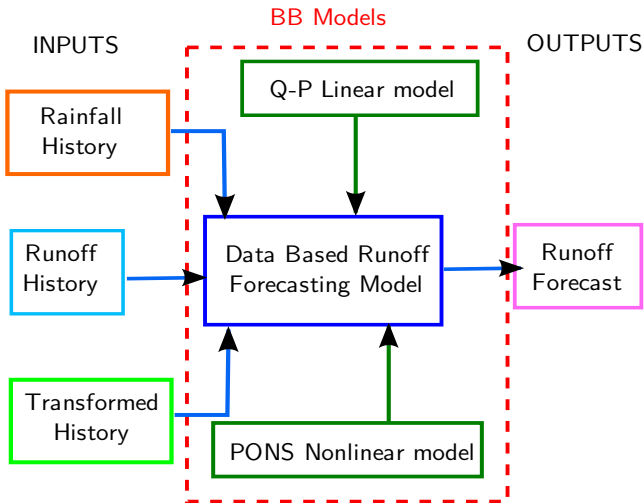
Uncertainty Assessment

Lead time vs Efficiency

Ensemble Forecast

Conclusions

Data Based Modeling



Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Simple linear transfer function model

- Linear model

$$Q(t) = \sum b_i Q(t-i) + \sum p_i P(t-i) \quad (1)$$

- Combined rainfall and runoff history
- Parameters \rightarrow length of histories
- Parameter estimation \rightarrow ordinary least square method
- Tested Extended versions of Q-P Linear model

$$Q(t+Lag) = \sum b_i Q(t-i-Lag) + \sum p_i P(t-i-Lag) \quad (2)$$

- Applied for the runoff forecast in real time

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model

PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

PONS – Nonlinear Model

Prediction of **O**utflow via **N**eural network**S**

MLP

Hornik – MLP with 1 HL → approximation of bounded continuous integrable function

PONS core

- feed-forward neural network
- neurons with different nonlinear activations
- back-propagation with momentum
- on-line training
- nonlinear exponential data transformation
- MLP with 1HL and 2HD
- open-source written in c++ <http://kvhem.cz>

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model

PONS - Nonlinear Model

Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast

PONS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency

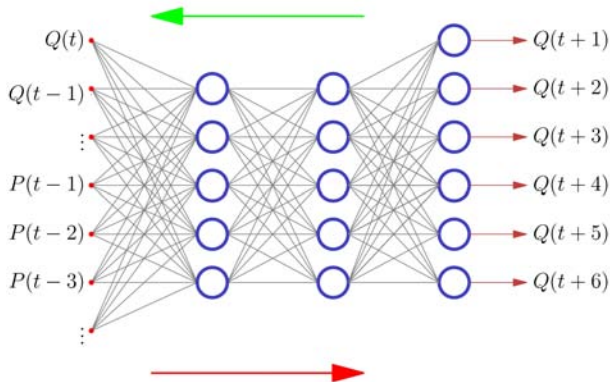
Ensemble Forecast

Conclusions

MLP example

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová,
Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech



Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model

PNOS - Nonlinear Model

Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast

PNOS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency

Ensemble Forecast

Conclusions

Data preparation

- calibration and validation sets of flood events
- chronological and non-chronological sorting
- nonlinear exponential transformations (PONS)

Runoff Forecast

- Q-P and PONS model parameters optimization
- preparing the real-time forecasting mode (Research Institute for Soil and Water Conservation)
- runoff forecast on ungauged catchment
- uncertainty tests

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model

Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Analyzed Flood Events

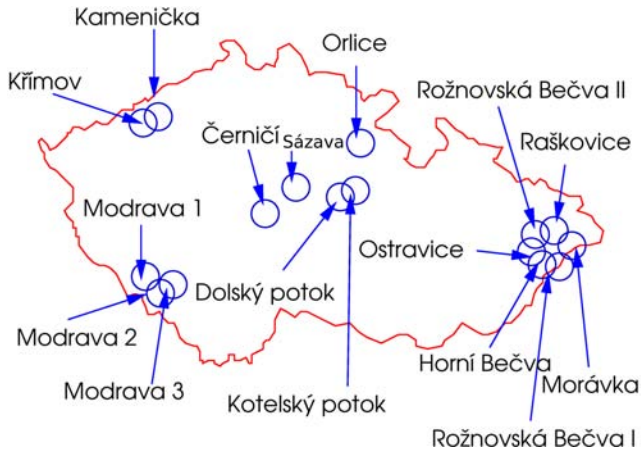


Figure: 16 Analyzed Watersheds

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Micro Catchments

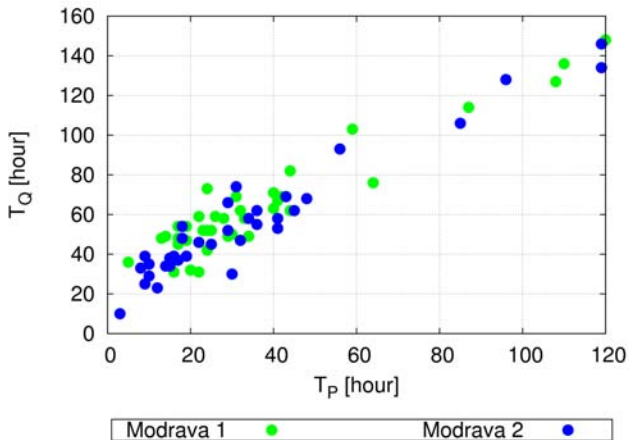


Figure: Temporal Flood Event Characteristics

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Large Catchments

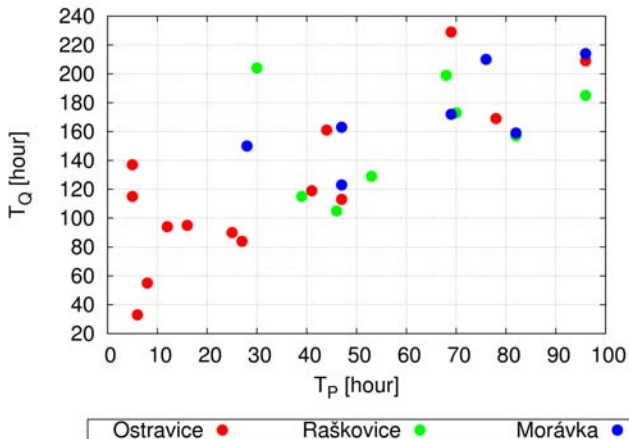


Figure: Temporal Flood Event Characteristics

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Runoff Forecast

Possibilities of Flood
Forecasting
in Small Headwater
Catchments: Case Study
Czech Republic

Petr Máca, Jana Ředinová,
Jirka Pavlásek, Vojtěch
Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Results – Weak points

- 1 Time shift in peak discharge prediction
- 2 High influence of correlation between $Q(t)$ and $Q(t - 1)$
- 3 Key Parameter \rightarrow Temporal resolution of Flood Event

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Results – Weak points

- 1 Time shift in peak discharge prediction
- 2 High influence of correlation between $Q(t)$ and $Q(t - 1)$
- 3 Key Parameter \rightarrow Temporal resolution of Flood Event

Results – Strong points

- 1 Functional real-time mode forecast of Q-P Linear model
- 2 Very good results in runoff forecast during testing computations on 1997 and 2002 floods
- 3 Developed good Application procedure

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Real time mode – Q–P linear model

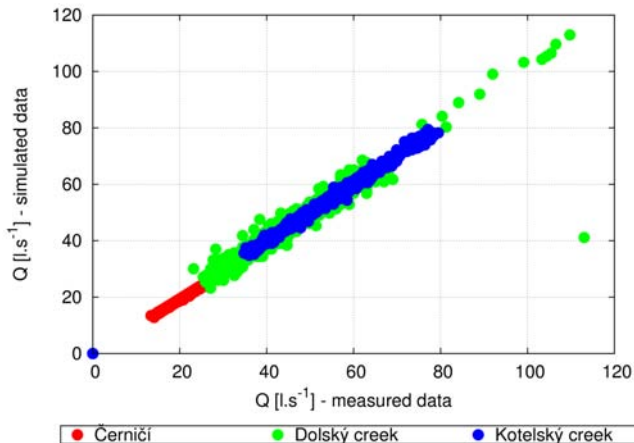


Figure: Agricultural watersheds

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory Objectives

Data Based Runoff Forecast

Q–P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast

PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

MLP Architecture

- 4 – 6 – 6

Q–P history

- $P(t - 4)$
- $Q(t - 1), Q(t - 2), Q(t - 3)$

MLP Training

- $\alpha = 0.009$
- $\eta = 0.01$
- $\mu = 0.3$
- number epochs 250

Calibration

- 4 extreme Floods
- 1985, 1996, 1999 and 2000

Validation Results NS

LT.	1996	1997
1	0.98	0.95
2	0.98	0.96
3	0.96	0.95
4	0.92	0.92
5	0.85	0.90
6	0.78	0.88
AVE.	0.91	0.92

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q–P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

3-Hour Lead Time Forecast

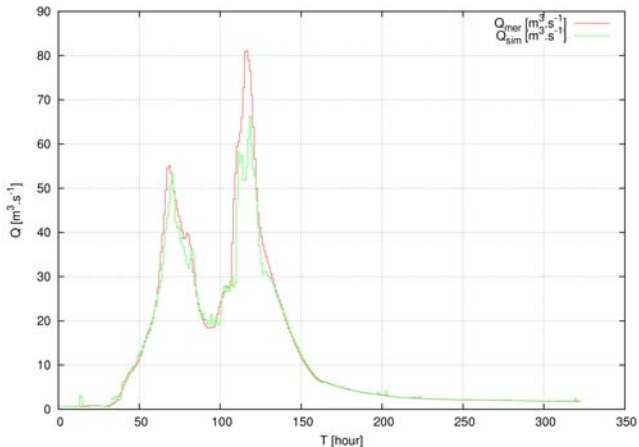


Figure: Mohelnice-Raškovice – validation

Possibilities of Flood
Forecasting
in Small Headwater
Catchments: Case Study
Czech Republic

Petr Máca, Jana Ředinová,
Jirka Pavlásek, Vojtěch
Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

6-Hour Lead Time Forecast

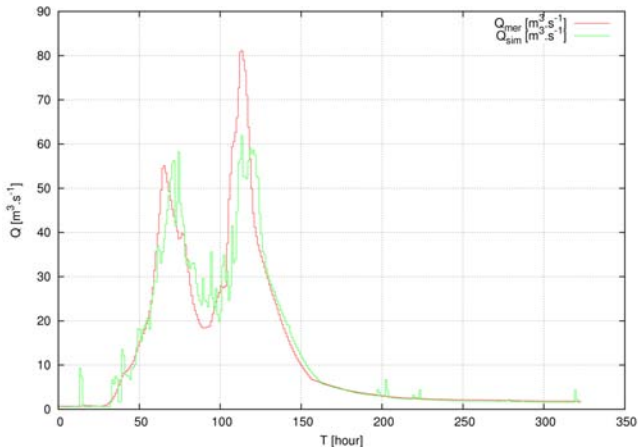


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Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Raškovice vs Morávka

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

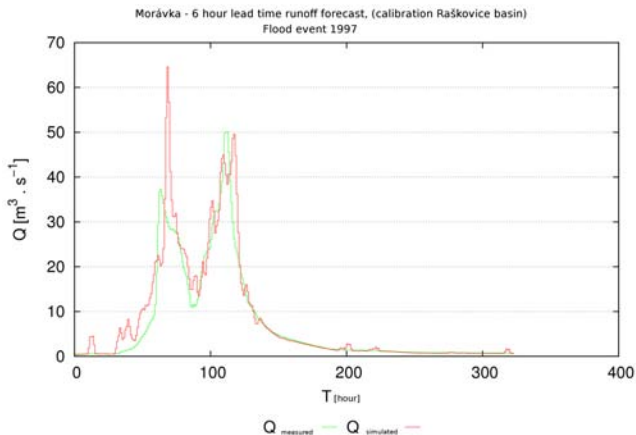


Figure: Mohelnice 33 km² – Morávka 22 km²

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model

Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

How certain our simulations are?

Possibilities of Flood
Forecasting
in Small Headwater
Catchments: Case Study
Czech Republic

Petr Máca, Jana Ředinová,
Jirka Pavlásek, Vojtěch
Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

How certain our simulations are?

Lead time test

- Nash Sutcliffe efficiency

$$NS = 1 - \frac{\sum(Q_{obs}(i) - Q_{sim}(i))^2}{\sum(Q_{obs}(i) - \bar{Q})^2} \quad (3)$$

- Persistence Index

$$PI = 1 - \frac{\sum(Q_{obs}(i) - Q_{sim}(i))^2}{\sum(Q_{obs}(i) - Q_{obs}(i - lag))^2} \quad (4)$$

- Combined Precip and Runoff history

Possibilities of Flood
Forecasting
in Small Headwater
Catchments: Case Study
Czech Republic

Petr Máca, Jana Ředinová,
Jirka Pavlásek, Vojtěch
Havlíček, Pavel Pech

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

How certain our simulations are?

Possibilities of Flood
Forecasting
in Small Headwater
Catchments: Case Study
Czech Republic

Petr Máca, Jana Ředinová,
Jirka Pavlásek, Vojtěch
Havlíček, Pavel Pech

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$$PI = 1 - \frac{\sum(Q_{obs}(i) - Q_{sim}(i))^2}{\sum(Q_{obs}(i) - Q_{obs}(i - lag))^2} \quad (4)$$

- Combined Precip and Runoff history

Generalization test

- 35 MLPs Ensemble
- **Only the Runoff history**

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Morávka – Flood Event 1997 – 22.2 km²

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech

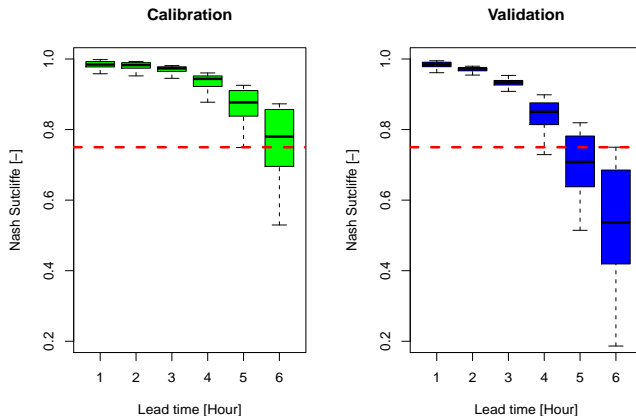


Figure: Nash-Sutcliffe Efficiency (Nash et Sutcliffe, 1970)

Introduction

Headwater Basins, Flood Memory Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

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Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

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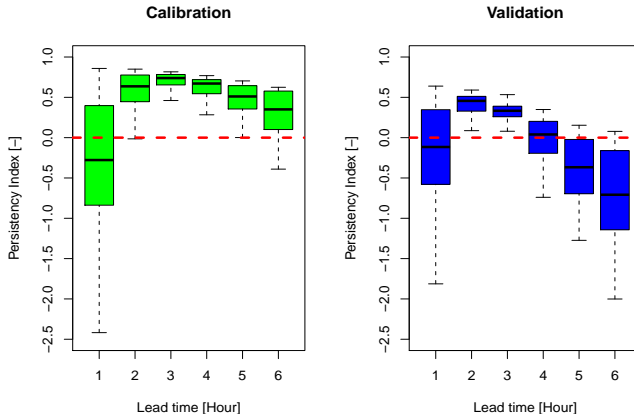


Figure: Persistence Index (Kitanidis et Bras, 1980)

Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

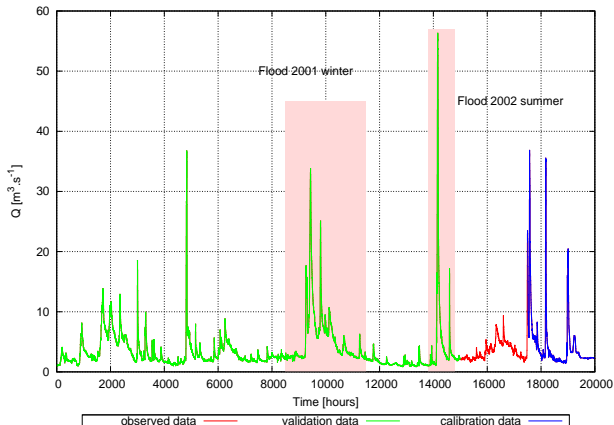
Lead time vs Efficiency
Ensemble Forecast

Conclusions

Sázava Basin – 381 km²

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

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Introduction

Headwater Basins, Flood Memory
Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event – Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

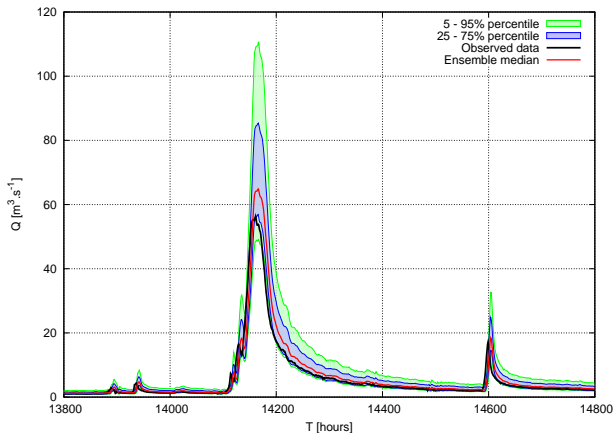
Lead time vs Efficiency
Ensemble Forecast

Conclusions

Sázava Basin - 29.6.–10.8. 2002

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech



Introduction

Headwater Basins, Flood Memory Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

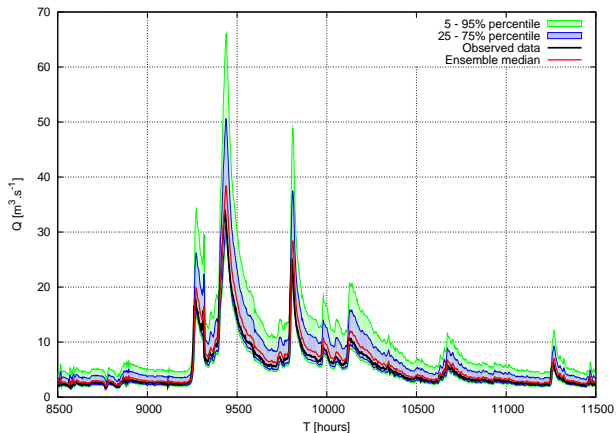
Lead time vs Efficiency
Ensemble Forecast

Conclusions

Sázava Basin - 21.11–2.12 2002

Possibilities of Flood Forecasting in Small Headwater Catchments: Case Study Czech Republic

Petr Máca, Jana Ředinová, Jirka Pavlásek, Vojtěch Havlíček, Pavel Pech



Introduction

Headwater Basins, Flood Memory Objectives

Data Based Runoff Forecast

Q-P Linear Model
PONS - Nonlinear Model
Application Procedure

Flood Events

Flood event - Characteristics

Simulation Results

Real Time Runoff Forecast
PONS Model
Forecast in Un-gauged Basin

Uncertainty Assessment

Lead time vs Efficiency
Ensemble Forecast

Conclusions

Conclusions

- 1 Runoff forecast in small headwater catchment still is a **Challenge**.
- 2 Proposed tested techniques may help to extend the runoff forecast within currently operating **Local Warning Systems**.
- 3 Runoff forecast based on runoff history is capable to capture development of **selected floods**, when wrong rainfall data are available.
- 4 **Dataset of flood events** across the different spatial scale was built up.
- 5 **Uncertainty tests** should be included within similar computations.

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Headwater Basins, Flood Memory
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Q-P Linear Model
PONS - Nonlinear Model
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Thank you for your attention.

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